Minimizing Places Storage Capacities of a Weighted Event Graph*

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Abstract

The minimization of the places capacities storage of a Weighted Event Graph (in short WEG) is a crucial problem in industrial area such as the design of embedded systems or manufacturing systems. The objective of this paper is to set out an algorithm for solving polynomially this problem. It also computes an initial live marking. Firstly, a lower bound formula for each place capacity storage is expressed. A simple transformation of the initial WEG $G$ into another WEG $G_R$ modelling that the limitation storage of each place of $G$ is equal to these bounds is then detailed. Then, a restriction of the set of feasible initial markings of $G_R$ is made by the analysis of the liveness problem. Finally, we develop a polynomial algorithm which computes an initial live marking of $G_R$.

Keywords : Petri nets, Liveness, Buffer requirement, Manufacturing.

1 Introduction

Petri nets are a very well suited formalism for the modelling and the analysis of dynamic systems. In this article, our analysis is concentrated on a subclass of Petri nets called Weighted Events Graphs (in short WEG) for which each place $p$ has exactly one input transition $t_i$ and one output transition $t_j$. Moreover, the number of tokens placed in $p$ after the firing of $t_i$ (resp. removed from $p$ for the firing of $t_j$) is a given integer $w(p)$ (resp. $v(p)$). Notice that WEG are conflict free and constitute a very restrictive subclass of Petri nets. However, they may be used for the design and the modelling of manufacturing systems or embedded systems. Transitions represent actions. Tokens correspond to physical products in an assembly line or data exchanged between two processes in embedded systems. In both cases, the capacity storage of any place $p$, which consists on the maximum number of tokens that $p$ can hold at a time, is proportional to the surface needed to store products or data. In the field of manufacturing systems design, many authors studied the tokens.

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minimization of an Event Graph under the constraint of the existence of a schedule with a given throughput. In this case, the system is live (i.e. every transition may be fired infinitely often) iff there is at least one token in every circuit of the Event Graph. So, the optimization problem is in $\text{NP}$ and several authors develop efficient optimization algorithms to solve it [HP89, Gau90, LPX92] or some interesting variants [GPS02]. On the contrary, the liveness of a WEG is still an open problem despite original attempts to solve it [CWR93]. In a slightly different formalism called Computation Graph [KM66], Karp and Miller has shown that the deadlock decision problem is in $\text{NP}$. From a practical point of view, the liveness problem of a WEG is solved using pseudo-polynomial algorithms [Sau03, TCCS92] which are mainly based upon a transformation of the WEG into an Event Graph introduced in [Mun93, Mun96].

In the field of the design of embedded systems, the high cost of memories implies that the minimization of the capacity storage is crucial. In this area, the Synchronous Data-Flow paradigm (in short SDF) introduced by Lee and Messerschmitt [LM87b, LM87a] is exclusively considered. However, WEG and SDF are equivalent formalisms, since processes of a SDF graph correspond to transitions and arcs to places. In this specific domain, many researches concentrate on the search of periodic schedules with particular features (such as minimal schedule length or maximal concurrency schedule) that minimizes the whole amount of memory used [BML99, MBL97, ČP93]. Several authors consider the minimization of places capacities storage of a WEG for a given initial marking. This problem is proved $\text{NP}$-complete even for Event Graphs [Mur96]. Some authors develop heuristics to solve it [Adé97, ALP94]. In [GGD02], the authors formulate by an integer linear program the minimization of the places capacities storage for a periodic schedule of a maximum throughput.

In this paper, we are interested in the minimization of places capacities storage of a WEG in the case that the initial marking is not given. More precisely, let $f$ be an increasing function of the places capacities storage. The question is : is it possible to compute for each place $p$ of a given WEG $G$, a capacity $M^*(p)$ and an initial marking $M_0(p)$ such that $G$ is live, the number of tokens of any place $p$ remains anytime bounded by $M^*(p)$ and $f(M^*(p_1), \ldots, M^*(p_n))$ is minimum ? We develop an original (to our best knowledge) polynomial algorithm to solve exactly this problem. Notice that, since the liveness of a WEG is still an open problem, the analysis of the whole problem is subtle. In order to get our result, we consider a sufficient condition of liveness proved in [MMK04].

This paper is structured as follow : Section 2 is devoted to recall the basic concepts of WEG in order to clearly formulate the problem dealt in this paper. In Section 3, we set out some new relevant results about place capacity which form the theoretical basis of our approach. In Section 4, we study the liveness of minimally bounded WEG. Section’s 4 results lead us to make some restrictions on the space solution. Then, we devise step by step in Section 5 an algorithm which builds polynomially a live marking. Section 6 displays this algorithm (illustrated by an example) and its generalization. Lastly, we conclude with some perspectives in Section 7.
2 Basic definitions and notations

The aim of this section is to introduce some basic definitions and to express formally the problem tackled in this paper. First, we give the notations and the definition of Weighted Event Graphs. Then, we formulate our problem.

2.1 Basic definitions

Let us consider a Weighted Event Graph $G = (P,T)$ (WEG in short) given by a set of transitions $T = \{t_1, ..., t_n\}$ and a set of places $P = \{p_1, ..., p_m\}$. Every place $p \in P$ is defined between two transitions $t_i$ and $t_j$ and is denoted by $p = (t_i, t_j)$ (see Figure 1 on this page). For any transition $t \in T$, we set :

$$\mathcal{P}^+(t) = \{p = (t, t') \in P, t' \in T\}$$

$$\mathcal{P}^-(t) = \{p = (t', t) \in P, t' \in T\}$$

Arcs $(t_i, p)$ and $(p, t_j)$ are valued by a strictly positive integer denoted respectively by $w(p)$ and $v(p)$ called the marking functions. We also denote by $M_0(p)$ the initial marking of the place $p$. In order to clearly distinguish the structural aspect from the behavioral aspect, a WEG graph with an initial marking $M_0$ is called a Marked WEG (MWE in short) and is denoted by $G = (P,T,M_0)$. Its structure is the WEG $G = (P,T)$.

In this paper, we first focus our attention on the relationships between the structural and behavioral aspects. Then, Section 5 is devoted to the study of strongly connected WEG (i.e. for any couple $(t_i, t_j) \in T$, there exists a path in $G$ from $t_i$ to $t_j$). We will show in Section 6 that strongly connected components of $G$ may be tackled separately.

![Figure 1: A place $p = (t_i, t_j)$](image)

For any firing of the transition $t_i$ (resp. $t_j$), $w(p)$ (resp. $v(p)$) tokens are placed in (resp. removed from) the place $p$. So, if $\nu_i$ (resp. $\nu_j$) denotes the number of firings of the transition $t_i$ (resp. $t_j$), the number of tokens in the place $p$ is :

$$M(p) = M_0(p) + \nu_i w(p) - \nu_j v(p)$$

For any $\nu \in \mathbb{N}^*$ and any $t \in T$, $< t, \nu >$ denotes the $\nu$th firing of $t$.

If $w(p) = v(p) = 1$ for any place $p \in P$, then $G$ is an Event Graph. For a sake of simplicity, $gcd(w(p), v(p)) = gcd_p$ denotes the greatest common divisor of the integers $w(p)$ and $v(p)$ for any place $p \in P$.

2.2 Problem definition

Let $G = (T,P)$ be a WEG. Let $f : \mathbb{N}^m \rightarrow \mathbb{N}$ be an increasing function. The problem considered here is to find, for any $p \in P$, a minimum value $M^*(p) \in \mathbb{N}$ and an initial marking $M_0(p) \leq M^*(p)$ such that :

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• the marked graph \( G = (T, P, M_0) \) is live. Moreover, there exists an infinite firings sequence of the transitions such that the number of tokens in any place \( p \) remains bounded by \( M^*(p) \);

• \( f(M^*(p_1), \ldots, M^*(p_m)) \) is minimum.

3 Preliminaries

This section reminds and shows some basic useful properties on WEG and MWEG. Firstly, we make a slight restriction on the class of graphs tackled here. After this, we introduce the notion of bounded place and we prove that every bounded place may be replaced by a couple of places. We naturally extend these concepts to define bounded graphs and associated capacity graphs. Then, we recall that any unitary strongly connected graph may be transformed into a normalized graph, which is a subclass of unitary strongly connected graphs such that the marking functions depends on transitions. In the last part, we recall a nice sufficient condition of liveness of normalized graph and we deduce a lower bound of the capacity storage of each bounded place.

3.1 Self-loop places elimination

**Definition 3.1.** A place \( p = (t_i, t_i) \) is called a self-loop place.

As we are dealing with WEG, the number of tokens of a self-loop place \( p = (t_i, t_i) \) only depends on the number of firings of transition \( t_i \). However, \( t_i \) has to be fired infinitely often so that the number of tokens of the whole graph remains bounded on every places. One can see that \( w(p) \geq v(p) \) is a necessary condition of liveness for transition \( t_i \) and \( w(p) \leq v(p) \) is a necessary condition of boundedness of a self-loop place \( p \). So it comes that \( w(p) = v(p) \) which induces that the number of tokens on \( p \) is always equal to \( M_0(p) \). In the same way, \( M_0(p) \geq v(p) \) is obviously a necessary condition of liveness of transition \( t_i \). So if all the previous conditions are met then the self-loop place does not prevent at any time \( t_i \) to be fired. Moreover, the maximum number of tokens held by a self-loop place \( p \) is always equal to its initial marking so we can set \( M^*(p) = M_0(p) \). Since all these conditions have to be checked for self-loop places, we can limit our study without loss of generality to WEG \( G \) free of self-loop places.

3.2 Bounded places and bounded MWEG

**Definition 3.2.** A \( M^* \)-bounded place is a place \( p \in P \) such that the number of tokens of \( p \) remains bounded by \( M^*(p) \in \mathbb{N} \). \( M^*(p) \) is called the capacity of place \( p \).

Let \( p = (t_i, t_j) \in P \). There exists a (strict) precedence constraint between \( < t_i, \nu_i > \) and \( < t_j, \nu_j > \) iff :

**Condition 1** \( < t_j, \nu_j > \) can be done after \( < t_i, \nu_i > \);

**Condition 2** \( < t_j, \nu_j - 1 > \) can be done before \( < t_i, \nu_i > \) but not \( < t_j, \nu_j > \).
Lemma 3.1. A place \( p = (t_i, t_j) \in P \) with initial marking \( M_0(p) \) models a precedence constraint between the \( \nu_i \)th firing of \( t_i \) and the \( \nu_j \)th firing of \( t_j \) iff:
\[
w(p) > M_0(p) + w(p)\nu_i - v(p)\nu_j \geq \max(w(p) - v(p), 0)
\]
Proof. A place \( p = (t_i, t_j) \in P \) with initial marking \( M_0(p) \) models a precedence constraint between \( < t_i, \nu_i > \) and the \( < t_j, \nu_j > \) iff Conditions 1 and 2 hold.

1. Condition 1 is equivalent to
\[
M_0(p) + w(p)\nu_i - v(p)\nu_j \geq 0
\]
2. Condition 2 is equivalent to
\[
v(p) > M_0(p) + w(p)(\nu_i - 1) - v(p)(\nu_j - 1) \geq 0
\]
Combining these two inequalities, we get the inequality required.

In the same way, we can derive a condition of precedence between \( < t_j, \nu_j > \) and \( < t_i, \nu_i > \) due to the storage constraint of place \( p = (t_i, t_j) \).

Condition 3 \( < t_i, \nu_i > \) can be done after \( < t_j, \nu_j > \);
Condition 4 \( < t_i, \nu_i - 1 > \) can be done before \( < t_j, \nu_j > \) but not \( < t_i, \nu_i > \).

Lemma 3.2. Let \( \rho = (t_i, t_j) \in P \) with initial marking \( M_0(p) \). The limitation by an integer \( M_0(p) \geq M_0(p) \) of the capacity storage of place \( p = (t_i, t_j) \in P \) induces a precedence constraint between the \( \nu_j \)th firing of \( t_j \) and the \( \nu_i \)th firing of \( t_i \) iff:
\[
v(p) > (M_0(p) - M_0(p)) + v(p)\nu_j - w(p)\nu_i \geq \max(v(p) - w(p), 0)
\]
Proof. A place \( p = (t_i, t_j) \in P \) with a capacity bounded by \( M_0(p) \) and \( M_0(p) \) initial tokens models a precedence constraint between \( < t_j, \nu_j > \) and the \( < t_i, \nu_i > \) iff Conditions 3 and 4 hold.

1. Condition 3 is equivalent to
\[
M_0(p) \geq M_0(p) + w(p)\nu_i - v(p)\nu_j
\]
We get:
\[
(M_0(p) - M_0(p)) + v(p)\nu_j - w(p)\nu_i \geq 0
\]
2. Condition 4 is equivalent to
\[
M_0(p) \geq M_0(p) + w(p)(\nu_i - 1) - v(p)(\nu_j - 1) > M_0(p) - w(p)
\]
So, we get:
\[
M_0(p) + w(p) - v(p) \geq M_0(p) + w(p)\nu_i - v(p)\nu_j > M_0(p) - v(p)
\]
Hence,
\[
v(p) > (M_0(p) - M_0(p)) + v(p)\nu_j - w(p)\nu_i \geq v(p) - w(p)
\]

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Combining these two inequalities, we get the lemma.

We deduce the following theorem:

**Theorem 3.1.** Any $M^*$-bounded place $p$ with initial marking $M_0(p) \leq M^*(p)$ may be replaced by two places $p_1 = (t_i, t_j)$ and $p_2 = (t_j, t_i)$ such that $w(p_1) = v(p_2) = w(p)$, $v(p_1) = w(p_2) = v(p)$, $M_0(p_1) = M_0(p)$ and $M_0(p_2) = M^*(p) - M_0(p)$ (see Figure 2).

**Proof.** By Lemma 3.1 we derive that all precedence constraints between firings of $t_i$ and $t_j$ are modeled by place $p_1$. By Lemma 3.2 we deduce that all precedence constraints due to the boundedness of $p$ are modeled by place $p_2$.

In the following, places $p_1$ and $p_2$ are called the associated places of $p$ and we say that $p_1$ (resp. $p_2$) is the backward place of $p_2$ (resp. $p_1$).

![Diagram](image)

Figure 2: Replacement of an $M^*$-bounded place $p = (t_1, t_2)$ by $p_1 = (t_1, t_2)$ and $p_2 = (t_2, t_1)$.

In the same way, bounded graphs can be defined as follow:

**Definition 3.3.** $G = (T, P, M_0)$ is a bounded graph iff $\forall p \in P$, there exists $M^*(p) > 0$ such that:

- all transitions can be fired infinitely often,
- for each place $p$, the number of tokens remains bounded by $M^*(p)$.

### 3.3 Capacity graphs - Unitary graphs

Firstly, we define the capacity graph obtained by a simple transformation of the WEG places according to Theorem 3.1. Indeed, each $M^*$-bounded place of graph may be replaced by a couple of places.

**Definition 3.4.** Let $G = (T, P, M_0)$ be a MWEG and $\forall p \in P$, $M^*(p) \geq M_0(p)$ denotes the capacity bound. The associated capacity graph $G_R = (T, P_R, M_R^*)$ is the MWEG obtained from $G$ by replacing any place $p \in P$ by two places $(p_1, p_2) \in P_R \times P_R$ following Theorem 3.1.

We set $P_R^1$ (resp. $P_R^2$) the set of places $p = (t_i, t_j) \in P_R$ such that the corresponding place of $P$ is defined from $t_i$ to $t_j$ (resp. $t_j$ to $t_i$).
**Remark 3.1.** $G_R$ is strongly connected.

Here, we give a fundamental characterization of capacity graphs which relies on the weight notion of a path. Let us consider a path $\mu$ of $G$ defined as a sequence of $\alpha$ places such as $\mu = \{p_1 = (t_1, t_2), p_2 = (t_2, t_3), \ldots , p_\alpha = (t_\alpha, t_{\alpha+1})\}$. The weight $W(\mu)$ of this path is defined as:

$$W(\mu) = \prod_{p \in \mu} \frac{w(p)}{v(p)}$$

Now, we set out the definition of an important class of WEG called unitary graphs:

**Definition 3.5.** A WEG $G = (T, P)$ is a unitary graph iff all its circuits have a unitary weight. Similarly, a MWEG $G = (T, P, M_0)$ is unitary iff its WEG $G = (T, P)$ is unitary.

**Remark 3.2.** One can observe that the replacement of a bounded place $p$ by two places $p_1$ and $p_2$ always generates a unitary circuit.

Assuming that $G$ is a live strongly connected MWEG, it is proved in [Mun93] that the number of tokens remains bounded iff $G$ is a unitary graph. Now, we can give a structural result on bounded graphs:

**Theorem 3.2.** Let $G = (T, P, M_0)$ be a MWEG. $G$ is a bounded graph iff there is an associated capacity graph $G_R = (T, P_R, M_0^*)$ which is unitary and live.

**Proof.** We get this theorem by double implications.

- $(\Rightarrow)$: We get this implication by contradiction. Suppose that $G$ is a bounded graph and $G_R$ is not unitary or holds a deadlock whatever $M^*$.

  - First, if $G_R$ is not live whatever $M^*$ then according to Definition 3.3, it follows that $G$ is not an bounded graph, the contradiction.

  - Now, we assume that $G$ is a bounded graph and that $G_R$ is not unitary. In [Mun93], it is stated that a necessary condition of liveness for a MWEG is that all its circuits have a weight greater than one. If $G_R$ is not unitary then there exists a circuit $C$ such that $W(C) > 1$. Then, all its backward places form a circuit $C'$ in $G_R$. However, we can deduce by Remark 3.2 the following equality:

  $$W(C') = \frac{1}{W(C)}$$

  So it follows that $W(C') < 1$ which implies that $G_R$ is not a live WEG. As this result holds for all $M^*$, according to Definition 3.3 we deduce that $G$ is not a bounded graph.

- $(\Leftarrow)$: If it exists $M^*$ such that $G_R = (T, P_R, M_0^*)$ is live and unitary then according to [Mun93] $G_R$ is a bounded graph so $G$ too.

\hfill \Box

The above theorem defines formally the class of graph with which we are concerned. Without any loss of generality, we assume that $G$ is a bounded graph.
**Remark 3.3.** According to Theorem 3.2, the structure of a bounded graph is such that the corresponding capacity graph’s structure is unitary. So in the sequel, we focus our attention on graphs whose structure confirms this property.

Now, we have to consider the liveness problem that arises in our problem’s definition.

### 3.4 Normalization

Normalization of a unitary strongly connected graph $G$ is a transformation of all the marking functions and initial marking values such that the marking functions adjacent to any transition $t_i$ have the same value $Z_i$. This transformation does not affect the precedence constraints between the firings, so that these two graphs are equivalent. More formally:

**Definition 3.6.** A transition $t_i$ is normalized iff there exists $Z_i \in \mathbb{N}^*$ such that:

$$\forall p \in P^+(t_i), \quad w(p) = Z_i$$

$$\forall p \in P^-(t_i), \quad v(p) = Z_i$$

A WEG $G$ is said normalized iff all its transitions are normalized.

In [MMK04], it is stated that any strongly connected unitary MWEG can be polynomially transformed into an equivalent normalized MWEG by modifying marking functions and initial markings.

**Consequence 3.1.** As we are concerned with bounded graph and according to Remark 3.1 and Theorem 3.2, capacity graphs which are tackled here can be normalized. It follows that bounded graphs can be normalized too.

In the rest of the paper, we assume that all graphs which are dealt here are normalized.

### 3.5 Lower bound on the capacity storage of places

We present here a lower bound on the capacity storage of bounded places of $G$. Firstly, we remind some results proved in [MMK04]. Then we prove these lower bounds formally.

The next lemma allows us to limit the values of initial markings of a MWEG:

**Lemma 3.3 ([MMK04]).** The initial marking $M_0(p)$ of any place $p = (t_i, t_j)$ may be replaced by $M'_0(p) = \left[ \frac{M_0(p)}{\gcd_p} \right] \cdot \gcd_p$ without any influence on the precedence constraints induced by $p$.

In the rest of the paper, we assume that the initial marking of any place $p$ is a multiple of $\gcd_p$.

**Theorem 3.3 ([MMK04]).** Let $G$ be a normalized MWEG. $G$ is live if for all circuit $C$ of $G$:

$$\sum_{p \in C \cap P} M_0(p) > \sum_{p \in C \cap P} (v(p) - \gcd_p)$$

It is shown in [MMK04] that this condition is also necessary for any circuit with two transitions:
Theorem 3.4 ([MMK04]). Let $C$ be a normalized circuit composed by two places $p_1$ and $p_2$ and two different transitions. $C$ is live iff

$$M_0(p_1) + M_0(p_2) > v(p_1) + v(p_2) - 2.\gcd_{p_1}$$

It is now possible to determine a lower bound $M_{\text{min}}^*(p)$ of the capacity storage $M^*(p)$ of a place $p$ as follows:

Theorem 3.5. Let $p$ be a $M^*$-bounded place. Setting $M_{\text{min}}^*(p) = w(p) + v(p) - \gcd_p$, we get $M^*(p) \geq M_{\text{min}}^*(p)$.

Proof. By Theorem 3.1, $p$ may be replaced by a circuit with two places $p_1$ and $p_2$. By Lemma 3.3, inequality of Theorem 3.4 can be refined such that:

$$M_0(p_1) + M_0(p_2) \geq v(p_1) + v(p_2) - \gcd_{p_1}$$

By definition of $p_1$ and $p_2$, $M^*(p) = M_0(p_1) + M_0(p_2)$ and $v(p_1) = v(p), v(p_2) = w(p)$ and $\gcd_{p_1} = \gcd_{p_2} = \gcd_p$. So it comes that:

$$M^*(p) \geq w(p) + v(p) - \gcd_p$$

Setting $M_{\text{min}}^*(p) = w(p) + v(p) - \gcd_p$, we get the theorem.

All these results allow us to strengthen Theorem 3.2:

Theorem 3.6. Let $G = (T, P, M_0)$ be a live MWE. $G$ is a bounded graph iff the associated capacity graph’s structure $G_R = (T, P_R)$ is unitary.

Proof. As the first implication of the proof of Theorem 3.2 still holds, we just need to show the converse proposition. More precisely, we have to show that if $G = (T, P, M_0)$ is a live MWE such that its capacity graph’s structure is unitary then there exists an infinite sequence of firing such that for each place $p$ the number of tokens remains bounded by a value $M^*(p)$. Firstly, according to Consequence 3.1, as $G_R = (T, P_R)$ is unitary, it can be normalized. We consider that $G_R = (T, P_R)$ is normalized. In order to show that $G$ is a bounded graph, we have to show that there exists an initial marking for each place of $P^2_R$ such that $G_R$ is live. By putting $B^*(p) = \left\lfloor \sum_{p_1} \frac{M_{\text{min}}^*(p)}{\gcd_{p_1}} \right\rfloor . \gcd_p$ tokens on each place $p$ of $P^2_R$, we ascertain that $G_R$ is live (notice that since $G_R$ is normalized and each place $p_1 \in P^2_R$ partially models a place $p \in P$, we have $\gcd_{p_2} = \gcd_{p}$ and therefore the value $B^*(p)$ only depends on the place $p \in P$). Indeed, according to Theorem 3.5, it can be claim that all circuit of size 2 of $G_R$ is live. In the same way, the sufficient condition of liveness of Theorem 3.3 is checked for all the circuit except perhaps those which are solely made of place of $P^1_R$. However, we have assumed that $G = (T, P, M_0)$ is a live MWE, so all circuits of $G$ are live. So, we have found an initial marking such that $G_R$ is live. It follows that $G$ is a bounded graph with capacities $M^*(p) = M_0(p) + B^*(p)$, $\forall p \in P$.  

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4 Restriction and simplification of the problem

In this Section, we define the minimum capacity graph, which is obtained by transforming every bounded place of $G$ into a couple of places with the sum of initial tokens equal to the lower bound shown previously. Then, we express a sufficient condition of liveness for this type of MWE. Lastly, we restrict the problem to the determination of a live marking of the minimum capacity graph.

4.1 Definition

**Definition 4.1.** The minimum capacity graph denoted by $G^{\text{min}}_R = (T, P_R, M_0^*)$ of a MWE $G = (P, T, M_0)$ with $\forall p \in P$, $M_0(p) \leq M_{\text{min}}^*(p)$ is the capacity graph which is built in accordance with building rules of Theorem 3.1 with $M^* = M_{\text{min}}^*$.

According to Consequence 3.1, the minimum capacity graph is a normalized MWE so the liveness property defined below still works.

Clearly, graph $G^{\text{min}}_R$ is live iff there exists an infinite sequences of firings of $G$ such that, for any place $p \in P$, the instantaneous marking of $p$ remains bounded by $M_{\text{min}}^*(p)$. In the following, we study the liveness of $G^{\text{min}}_R$.

4.2 A sufficient condition of liveness

In order to establish the sufficient condition of liveness for any minimum capacity graph, we first provide a necessary condition of liveness which allow us to restrict the set of MWE dealt subsequently such as we have done it for the self-loops places case.

**Definition 4.2.** Let $G = (P, T)$ be a WEG. Two places $p = (t_i, t_j)$ and $q = (t_i, t_j)$ are said parallel. A generic WEG is a WEG without parallel places. So by merely deleting parallel places of WEG, we can build its generic WEG.

**Lemma 4.1.** Let $G = (P, T, M_0)$ be a MWE with $\forall p \in P$, $M_0(p) \leq M_{\text{min}}^*(p)$. If the minimum capacity graph $G^{\text{min}}_R = (T, P_R, M_0^*)$ is live then for any couple $(p, q)$ of parallel places we have $M_0(p) = M_0(q)$.

**Proof.** This proof is get by contradiction. Assume that there exists two parallel places $p = (t_i, t_j)$ and $q = (t_i, t_j)$ in $G$ such as $M_0(p) \leq M_{\text{min}}^*(p)$, $M_0(q) \leq M_{\text{min}}^*(q)$, $M_0(p) > M_0(q)$ and $G^{\text{min}}_R = (T, P_R, M_0^*)$ is live. It comes that $G^{\text{min}}_R = (T, P_R, M_0^*)$ holds a backward place $p'$ (resp. $q'$) associated to $p$ (resp. $q$) with $M_0(p') = M_{\text{min}}^*(p) - M_0(p)$ (resp. $M_0(q') = M_{\text{min}}^*(q) - M_0(q)$). Since $G$ is normalized then $w(p) = w(q) = v(p') = v(q')$, $v(p) = v(q) = w(p') = w(q')$ and $\gcd_p = \gcd_q = \gcd_{p'} = \gcd_{q'}$. We deduce that $M_{\text{min}}^*(p) = M_{\text{min}}^*(q)$. Let us consider the initial marking of circuit $C = \{q = (t_i, t_j), p' = (t_j, t_i)\}$ :

$$M_0(q) + M_0(p') = M_0(q) + M_{\text{min}}^*(p) - M_0(p)$$

$$< M_0(q) + M_{\text{min}}^*(p) - M_0(q)$$

$$< M_{\text{min}}^*(p)$$

$$< v(q) + v(p') - \gcd_q$$

So according to theorem 3.4, this circuit is not live. We get a contradiction. $\square$
Remark 4.1. According to lemma 4.1 and to lemma 3.1, since parallel places with the same number of initial tokens model exactly the same constraints, it follows that given a WEG which holds some parallel places there is an initial live marking for its minimum capacity graph if and only if there is an initial live marking for the minimum capacity graph of its generic WEG. So without any loss of generality, we focus our study on generic WEG in the rest of the paper.

Theorem 4.1. Let us suppose that, for any circuit $C$ of $G_{min}^{R}$,

\[ \sum_{p \in C \cap P_{R}} v(p) > \sum_{p \in C \cap P_{R}} M_{0}(p) > \sum_{p \in C \cap P_{R}} (v(p) - gcd_{p}) \]

then, $G_{min}^{R}$ is live.

Proof. Let us consider a circuit $C$ of $G_{min}^{R}$. We have to consider two cases:

- If the number of places in $C$ is equal to 2 then $C$ involves two transitions denoted here by $t_i$ and $t_j$. Assume moreover that there is only one place $p$ between them in $G$. It follows that, by contraction of $G_{min}^{R}$ and by theorem 3.4, $C$ is live.

  Now, since $\sum_{p \in C \cap P_{R}} M_{0}(p) = w(p) + v(p) - gcd_{p}$

  we deduce $\sum_{p \in C \cap P_{R}} v(p) > \sum_{p \in C \cap P_{R}} M_{0}(p) > \sum_{p \in C \cap P_{R}} (v(p) - gcd_{p})$

- Otherwise, in accordance with $G_{min}^{R}$‘s building rules, there exists a circuit $C'$ of $G_{min}^{R}$ such that, to any place $p = (t_i, t_j)$ of $C$ corresponds a backward place $p' = (t_j, t_i)$ in $C'$. Since $G_{min}^{R}$ is normalized then for any couple of places $(p, q) \in P_{R} \times P_{R}$ which both share the same transitions, we have $M_{min}^{*}(p) = M_{min}^{*}(q)$. So the number of tokens in places from $C \cup C'$ verifies:

  \[ N_{C \cup C'} = \sum_{p \in C \cap P_{R}} M_{min}^{*}(p) \]

  \[ = \sum_{p \in C \cap P_{R}} (w(p) + v(p) - gcd_{p}) \]

  \[ = \sum_{p \in C \cap P_{R}} M_{0}(p) + \sum_{p \in C' \cap P_{R}} M_{0}(p) \]

As $G_{min}^{R}$ is normalized, by Theorem 3.3, $C$ is live if

\[ \sum_{p \in C \cap P_{R}} M_{0}(p) > \sum_{p \in C \cap P_{R}} (v(p) - gcd_{p}) \]

In the same way, $C'$ is live if

\[ \sum_{p \in C' \cap P_{R}} M_{0}(p) > \sum_{p \in C' \cap P_{R}} (v(p) - gcd_{p}) \]

Since $\sum_{p \in C' \cap P_{R}} (v(p) - gcd_{p}) = \sum_{p \in C \cap P_{R}} (w(p) - gcd_{p})$
and \[ \sum_{p \in C \cap P_R} M_0(p) = \sum_{p \in \mathcal{C} \cap P_R} (w(p) + v(p) - gcd_p) - \sum_{p \in \mathcal{C} \cap P_R} M_0(p), \] the condition becomes:
\[ \sum_{p \in \mathcal{C} \cap P_R} v(p) > \sum_{p \in \mathcal{C} \cap P_R} M_0(p) \]

\[ \square \]

4.3 Problem restriction

In next Section, we consider the more restrictive version of our prime problem (see sub-section 2.2 on page 3) for which \( M^*(p) = M_{min}^*(p) \) for each place \( p \) of \( G \). In the sequel, when we talk about capacity graph, as opposed to Definition 4.1 and as our objective is to build a marking, we only refer to its structure which is common to all capacity graphs and we omit the marking’s definition.

We develop a polynomial algorithm which computes a live initial marking of a capacity graph such that for each couple of place \( p = (t_i, t_j) \) and \( p' = (t_j, t_i) \) we get \( M_0(p) + M_0(p') = M_{min}^*(p) \). As we are seeking a marking such that the graph is live and minimally bounded, initially \( G_R^{\min} = (T, P_R) \) just denotes the structure of the capacity graph. For any place \( p \) of \( G_R^{\min} \), the two possible initial markings considered by this algorithm are \( v(p) \) or \( v(p) - gcd_p \).

More formally, the algorithm is a constructive proof of the following theorem:

**Theorem 4.2.** Let \( G_R^{\min} = (T, P_R) \) be the capacity graph’s structure of a unitary WEG \( G = (T, P) \). An initial live marking of \( G_R^{\min} \) can be built in polynomial time such that, for any place \( p \in P_R \), \( M_0(p) \in \{v(p), v(p) - gcd_p\} \).

5 Towards a polynomial algorithm for the construction of a live marking under restriction of minimum capacity storage

In this Section, we only consider the problem of building for strongly connected bound graph an initial marking which is live and minimally bounded. The general case will be treated in the forthcoming section.

**Theorem 5.1.** Let \( G_R^{\min} = (T, P_R) \) be the capacity graph’s structure of a strongly connected unitary graph \( G = (T, P) \). An initial live marking of \( G_R^{\min} \) can be built in polynomial time such that, for any place \( p \in P_R \), \( M_0(p) \in \{v(p), v(p) - gcd_p\} \).

Firstly, we transform \( G_R^{\min} \) into an associated graph \( \mathcal{G} \) in order to simplify the presentation of the algorithm. We also translate the sufficient condition of liveness of \( G_R^{\min} \) into a property of the labeling of arcs of \( \mathcal{G} \). We deduce from this last property the termination of the algorithm. Then, we describe the initialization and the iterative step.

5.1 Definition of the associated graph \( \mathcal{G} \)

Let \( G \) be a strongly connected normalized unitary WEG and \( G_R^{\min} \) its associated capacity graph’s structure. In order to simplify the proof’s writing, we define the associated oriented graph \( \mathcal{G} = (T, \mathcal{U}) \) as follows:
1. vertices of $G$ are transitions of $G$;

2. any place $p = (t_i, t_j)$ of $P_R$ is associated with an arc $u_p$ from $t_i$ to $t_j$. Moreover, in order to differentiate places of $P^1_R$ from those of $P^2_R$, we use on figures a solid style (resp. dotted style) to depict arcs of $U$ associated with $P^1_R$ (resp. $P^2_R$).

By hypothesis, the initial marking of any place $p \in P_R$ verifies $M_0(p) \in \{v(p), v(p) - gcd_p\}$. So, to any initial marking $M_0$ of $G^{min}_R$, we associate a label $l$ of the arcs of $G$ defined as:

$$
\begin{cases}
    l(u_p) = 0 & \text{if } M_0(p) = v(p) - gcd_p \\
    l(u_p) = 1 & \text{if } M_0(p) = v(p)
\end{cases}
$$

Conversely, to any label of $G$, an initial marking of $G^{min}_R$ can be associated.

**Property 5.1.** If $M_0$ is a live marking of $G^{min}_R$, then for any couple of places $(p_1, p_2) \in P^1_R \times P^2_R$ associated to a same place $p$ of $G$, $l(u_{p_1}) + l(u_{p_2}) = 1$

**Proof.** By definition of $G^{min}_R$, $M_0(p_1) + M_0(p_2) = M^{*}_{\text{min}}(p) = w(p) + v(p) - gcd_p$. Since $M_0(p_1) \in \{v(p), v(p) - gcd_p\}$ and $M_0(p_2) \in \{w(p), w(p) - gcd_p\}$, we deduce the property. $\square$

**Lemma 5.1.** Let $l$ be a label of $G$ which verifies Property 5.1. If every circuit $C$ of $G$ has at least one arc $x$ and one arc $y$ such that $l(x) = 0$ and $l(y) = 1$, then the associated marking $M_0$ of $G^{min}_R$ is live.

**Proof.** Let us consider a circuit $C$ of $G$ and let $C'$ be the corresponding circuit of $G^{min}_R$. If $l$ verifies the condition of the lemma, then

$$
|C| > \sum_{p \in C \cap P_R} l(u_p) > 0
$$

where $|C|$ is the number of arcs in $C$. We get:

$$
\sum_{p \in C \cap P_R} v(p) > \sum_{p \in C \cap P_R} M_0(p) > \sum_{p \in C \cap P_R} (v(p) - gcd_p)
$$

By Theorem 3.3, we deduce that the associated marking $M_0$ of $G^{min}_R$ is live. $\square$

One can notice that this problem seems to be closely related to the well-know NP-Complete problem Feedback Arc Set [Kar72].

### 5.2 Termination of the algorithm

Let $U_0$ be the set of null labeled arcs of $G$ and $G_0 = (T, U_0)$. Likewise, let $U_0^\Delta$ be the subset of dotted arcs from $U_0$ and $G_0^\Delta = (T, U_0^\Delta)$.

**Theorem 5.2.** If $G_0$ is a Directed Acyclic Graph (DAG in short) then the corresponding marking $M_0$ of $G^{min}_R$ is live.

**Proof.** Let us suppose that $G^{min}_R$ is not live for a marking $M_0$. Since $l$ verifies Property 5.1, by Lemma 5.1, there exists a circuit $C$ of $G$ such that $l(x) = 0$ for any arc $x$ of $C$. $C$ is included in $G_0$, which is not a DAG. $\square$
5.3 Initialization of $\mathcal{G}_0$

Let us consider a spanning out-tree $T$ of $G$ (i.e. $T$ is a partial subgraph of $G$ which is an out-tree and connecting every vertex of $G$). $T$ exists since $G$ is strongly connected. We build an initial label of $\mathcal{G}$ as follows:

- If $u_p$ is a solid arc, then $l(u_p) = 1$ iff the corresponding place $p \in P$ of $G$ is in $T$.
- If $u_p$ is a dotted arc, then $l(u_p) = 1$ iff the backwards solid arc $u_{p'}$ has its label $l(u_{p'}) = 0$.

**Property 5.2.** $\mathcal{G}_0$ does not hold any dotted circuit.

*Proof.* Assume that $\mathcal{G}_0$ holds a dotted circuit $C$. According to the building’s rules of the labels, Property 5.1 holds. So, the backwards circuit $C'$ of $C$ is composed by solid arcs and $C'$ is included in $T$, which is impossible. \hfill $\square$

Now, since $\mathcal{G}_0$ does not hold dotted circuits:

**Consequence 5.1.** Initially, all circuits of $\mathcal{G}_0$ are either solely made of solid arcs or by solid and dotted arcs.

5.4 Breaking circuits of $\mathcal{G}_0$

The idea of the algorithm is to compute a label of $\mathcal{G}$ such that $\mathcal{G}_0$ has no circuit. By Theorem 5.2, the corresponding initial marking of $G^R_{\text{min}}$ is live.

At this step of the algorithm, one can imagine that $\mathcal{G}_0$ is (still) not a DAG, so we have to devise an algorithm which breaks all circuits of $\mathcal{G}_0$.

**Theorem 5.3.** If $\mathcal{G}_0$ is free of dotted circuits and contains a circuit $C$, then there is at least one solid arc of $C$ which can be labeled by 1 without creating dotted circuits in $\mathcal{G}_0$.

*Proof.* We prove it by contradiction. Suppose that $\mathcal{G}_0$ is free of dotted circuits. Let $C$ be a circuit such that no solid arc of $C$ may be labeled by 1 without creating a dotted circuit by appending to $\mathcal{G}_0$ the corresponding dotted backward arc. Notice that removing a solid arc from $\mathcal{G}_0$ cannot create a solid circuit in $\mathcal{G}_0$. In this case, it follows that there were already a dotted circuit in $\mathcal{G}_0$ (see. Figure 3 on the following page), the contradiction. \hfill $\square$

The algorithm will remove arcs from $\mathcal{G}_0$ following Theorem 5.3. So, the number of solid arcs of $\mathcal{G}_0$ is strictly decreasing at each step of the algorithm. The algorithm stops when $\mathcal{G}_0$ has no more circuits.

6 Algorithms and an example

In this section, we sum up the algorithm for the strongly connected case and we express its complexity. Then, we will see a basic example. Finally, we extend these results to all bounded graphs.
Figure 3: At the center of the figure, we have the considered circuit $\mathcal{C}$. If, it is not possible to change any labels of solid arcs without creating some new dotted circuits, then there were previously in $\mathcal{G}_0$ a dotted circuit (cf. the dotted outside circuit).

6.1 Algorithm for a strongly connected graph

Firstly, one can observes that the algorithm computes for each place $p$ an initial marking $M_0(p) \in \{v(p) - \gcd_p, v(p)\}$. As the normalization operation is an increasing linear function of initial place’s attributes (i.e. $w(p), v(p)$, $M_0(p)$ and as $\gcd(k.w(p), k.v(p)) = k.\gcd_p$), the normalization step is not necessary.

1. Build an out-tree $T$ of the initial graph $G$ using DIJKSTRA’s algorithm. For any arc of $T$, label the corresponding solid arc of $\mathcal{G}$ by 1. For any arc of $G - T$, label the corresponding solid arc of $\mathcal{G}$ by 0. Dotted arcs of $\mathcal{G}$ are labeled following Property 5.1.

2. Extract from $\mathcal{G}$ the subgraph $\mathcal{G}_0$.

3. Check with the DEPTH-FIRST SEARCH algorithm that $\mathcal{G}_0$ is a DAG :

   (a) If $\mathcal{G}_0$ is a DAG then the associated initial marking of $G^{\text{min}}_R$ is live (see. Theorem 5.2). Go to step 4.

   (b) If $\mathcal{G}_0$ holds a circuit $\mathcal{C}$ then let $S$ be the set of solid arcs from $\mathcal{C}$.

      i. Select an arc $u_s \in S$ and denote by $u_d$ its backwards dotted arc.

      ii. If the dotted subgraph $\mathcal{G}_0^{\Delta'} = (T, U_0^{\Delta} \cup \{u_d\})$ is a DAG, then $u_s$ may be labeled by 1 : set $U_0 = U_0 \cup \{u_d\} - \{u_s\}$ and go back to step 3.

      iii. Otherwise, set $S = S - \{u_s\}$ and go to step 3(b).

4. Build an initial marking of $G^{\text{min}}_R$ as follow :

   for each arc $u_p$ of $\mathcal{G}$, set $M_0(p) = v(p) - \gcd_p$ if $l(u_p) = 0$ else set $M_0(p) = v(p)$.

Lemma 6.1. The complexity of this algorithm is in $O(m^2)$.

Proof. The complexity of DIJKSTRA and DEPTH-FIRST SEARCH algorithms are in $O(m)$. At each step of the algorithm, a solid arc is selected and we have to check that if this arc can be safely replaced by its backward dotted arc by using the DEPTH-FIRST SEARCH algorithm. As the number of iterations of step 3 is in $O(m)$ then it follows that the whole complexity of the algorithm is in $O(m^2)$. $\square$

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6.2 A basic example

Now, we apply the algorithm on a small example. The initial WEG is depicted by Figure 4 a) on the current page. Figure 4 b) shows the capacity graph’s structure.

![Diagram](image)

Figure 4: Let us consider the WEG depicted above on the left. On the right hand side, the capacity graph is presented. Now, we are looking for a live marking on this capacity graph.

According to step 1, the algorithm builds a spanning out-tree $T$ from vertex $t_5$ for example. The arcs of this spanning out-tree $T$ are labeled by 1. The resulting graph is shown by Figure 5 c) on this page. Then, the labels for the associated graph $\mathcal{G}$ (as shown by Figure 5 d)) are computed as defined in Section 5.

![Diagram](image)

Figure 5: The initialization step is made on Figure c). Figure d) depicts the associated graph with this initial label.

The subgraph $\mathcal{G}_0$ extracted from $\mathcal{G}$ is represented by Figure 6 e) on the next page. Then at step 3, the algorithm checks that $\mathcal{G}_0$ is a DAG. Here, the algorithm finds a circuit which
is depicted with thick arcs and we set it out on Figure 6 e). There is several candidate arcs in order to break this circuit. So we choose to break the circuit by “reversing” the arc \((t_1, t_4)\) as shown on the right hand side. At the next iteration, the algorithm attests that the modified graph \(\mathcal{G}_0\) is a DAG (Figure 6 f) on this page). So we achieve our goal and the algorithm stops.

![DAG Diagram](image)

Figure 6: On the right hand side, a circuit of \(\mathcal{G}_0\) is displayed. Figure f) shows the resulting graph at the end of the first iteration of STEP 3.

The whole label for this graph can be easily deduced according to Property 5.1. It is given on the left hand side of Figure 7 on the current page. Lastly, according to the definition of the associated graph, we can computes a live initial marking for our WEG which is minimally bounded for each place.

![Label Diagram](image)

Figure 7: On Figure g), the whole label is shown for the associated graph \(\mathcal{G}\). Then, we have depicted the initial marking for the \(G_R^{\text{min}}\). This initial marking is live.
6.3 Generalization

We extend the first algorithm in order to treat all bounded graphs. In the sequel, if \( G \) contains \( k \) strongly connected components then they will be denoted by \( C_1, \ldots, C_k \). For this purpose, we need to introduce a new definition :

**Definition 6.1.** An independent place \( p = (t_x, t_y) \) is a place such that :

\[
(t_x, t_y) \in C_i \times C_j , (i, j) \in \{1, \ldots, k\}^2, i \neq j
\]

The set of independent places of \( G \) is denoted by \( P_I \subseteq P \). In the same way, \( P_I^a \subseteq P_R \) denotes the set of places of \( P_R \) associated with an independant place.

The following algorithm is the generalization of the first one :

1. Compute all strongly connected components of graph \( G \) using the Depth-First Search’s algorithm. Determine the set \( P_I \) of independant places of \( G \).

2. Compute using the previous algorithm a marking for each strongly connected component of \( G \).

3. For each place \( p \in P_I^a \), if \( p \in P_I^1 \) set \( M_0(p) = v(p) \) else \( M_0(p) = v(p) - \gcd_p \).

**Theorem 6.1.** This algorithm computes a live initial marking for \( G_R^{\min} \).

*Proof.* This proof is get by contradiction. Assume that \( G \) holds \( k \) strongly connected components and all places are marked according to the algorithm computation. If this initial marking is not live then, by Theorem 4.1, there is in the capacity graph \( G_R^{\min} \) a circuit \( C \) where each place \( p \) is marked by \( v(p) - \gcd_p \) tokens. We consider two cases :

- **First case :** If \( C \) does not involve any associated place of any component \( C_i \) then the circuit is solely made of places of \( P_I^a \). As \( G \) does not hold a circuit which is solely made of places from \( P_I \) then we deduce that \( C \) holds at least 1 place of \( P_I^1 \) and 1 place of \( P_R^2 \). However according to the algorithm all places \( p \in P_I^1 \cap P_I^a \) are initially marked with \( v(p) \) tokens. So, we get a contradiction.

- **Second case :** If \( C \) contains some associated places from at least one strongly connected component then according to Theorem 5.1 we can deduce that \( C \) holds at least two places of \( P_I^a \). We have now two sub-cases :
  - All places of \( C \cap P_I^a \) are in \( P_R^2 \). If all those places belong to \( P_R^2 \) then the backward circuit \( C' \) defines a new strongly connected component in \( G \), a contradiction.
  - So, there is at least one place of \( C \cap P_I^a \) which is in \( P_R^1 \). Then this place was not marked according to our algorithm.

\[ \square \]

**Theorem 6.2.** The complexity of the whole approach is in \( O(n.m^2) \)
Proof. The number of computations of the global algorithm mainly depends on the use of the Depth-First Search’s algorithm and on the algorithm for the strongly component case. The first and the third steps of the algorithm are both in $O(m)$. As $|T| = n$, the number of strongly component of a graph $G$ is in $O(n)$. However, according to Lemma 6.1, the number of computations of the strongly component case is in $O(m^2)$. It follows that the number of computations performed at the second step is in $O(n.m^2)$. So, the theorem holds.

7 Conclusion

We have been concerned in this paper with a major problem of industrial area. This article has proposed a new approach for solving this problem by introducing some new constraints. These restrictions facilitate the design of a polynomial algorithm that computes an initial marking for WEG which is live and minimally bounded. To our knowledge, all computational solutions that have been devised until now were heuristics or pseudo-polynomial algorithms. So our polynomial algorithm may be used for treating large size instances and computing optimal results.

We plan to study in future-work the relationship between the throughput of a MWEG and the capacity constraints over places.

References


